DT07 Rec'd PCT/PT0 2 4pt5680290502-2005)
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| | ANSMITTAL LETTER TO | ATTORNEY'S DOCKET NUMBER DURE- 034 | | | | | | | |
|---|---|--|---|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | |
| | TIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| TITLE OF | US02/28519 INVENTION | 06/09/2002 | 06/09/2002 | | | | | | |
| Delivery of Modulators of Glutamate-Mediated Neurotransmission to the Inner Ear | | | | | | | | | |
| Ruel, etal. | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. 🔀 | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 2. 🔲 - | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. 🔲 - | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. 🖾 | The US has been elected (Article 31). | | | | | | | | |
| 5. 🔀 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | |
| | c. X is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | |
| | a. is attached hereto. | | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | b. Anave been communicated by the International Bureau. | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | d. have not been made and will not be made. | | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Preliminary E | xamination Report under PCT | | | | | | |
| Items | Items 11 to 20 below concern document(s) or information included: | | | | | | | | |
| 11. 🔲 | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. 🔲 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. 🔲 | A preliminary amendment. | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | |
| 16. 🗌 | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 20. | Other items or information: Peters Page 1 | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)

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| U.S. APPLICATIO | N NO. (If Known | ATTORNEY'S DOCKET NUMBER | | | | | | | |
|--|--|--------------------------|--|---------------------|--------------|----------|--|--|--|
| | 70/20 | 29024 | PCT/US02/28 | PCT/US02/28519 | | Dure-034 | | | |
| The follow | ring fees have b | CALCULATIONS | PTO USE ONLY | | | | | | |
| 21. 🔀 Basic r | national fee | \$ 300 | | | | | | | |
| 22. Examin If International prel PCT Article 33(1 All other situations |)-(4) | \$ 200 | | | | | | | |
| 23. Search Search fee (37 CFF Internationa International Search All other situations. | R 1.445(a)(2)) ha I Searching Aut n Report prepar | \$ 100 | | | | | | | |
| TC | OTAL OF 21, 22 | \$ 600 | | | | | | | |
| | or specification ng or computer 0 for each addit | | | | | | | | |
| | Extra Sheets | Number of eacl | n additional 50 or fraction up to a whole number) | RATE | | | | | |
| - 100 = | /50 = | | | x \$250 | \$ | | | | |
| Surcharge of \$130.0 claimed priority date | | \$ 130 | | | | | | | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | | | | |
| Total claims | | 18 -20= | Ð | x \$50 | \$ | | | | |
| Independent claims | | 3 -3= | -0- | x \$200 | \$ | | | | |
| MULTIPLE DEPEN | DENT CLAIM(S | S) (if applicable) | | + \$360 | \$ | | | | |
| | | | TOTAL OF ABOVE | CALCULATIONS = | \$ 730 | | | | |
| Applicant claim | s small entity st | atus. See 37 CFF | R 1.27. Fees above are reduc | ed by 1/2. | | | | | |
| | | \$ | | | | | | | |
| Processing fee of \$ claimed priority date | | \$ | | | | | | | |
| · · · · · · · · · · · · · · · · · · · | | \$ | | | | | | | |
| Fee for recording the by an appropriate co | | \$ | | | | | | | |
| .,, | | | TOTAL F | EES ENCLOSED = | \$ 130.00 | | | | |
| | | Amount to be refunded: | \$ | | | | | | |
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| A duplicate copy of this sheet is enclosed. | | | | | | | | | |
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| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | |
| Thomas P. McCracken SIGNATURE | | | | | | | | | |
| Durect Co | | ? McCracken | | | | | | | |
| lo240 Bubb Road Cupertino, CA 95014 NAME NAME 38.548 | | | | | | | | | |
| Cupertino, C | A 95014 | ON NUMBER | | | | | | | |